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Patent  
Attorney's Docket No. 021565-110

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

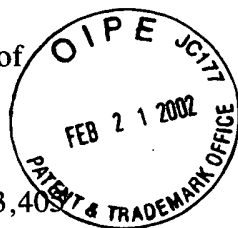
In re Patent Application of

Yong-Ling RUAN et al

Application No.: 10/003,403

Filed: December 6, 2001

For: MODIFICATION OF SUCROSE  
SYNTHASE GENE EXPRESSION IN  
PLANT TISSUE AND USES  
THEREFOR



)  
)  
) Group Art Unit: Unassigned

)  
) Examiner: Unassigned  
)  
)

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:


In complete response to the Office communication concerning the Requirements for  
Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence  
disclosures dated January 7, 2002, enclosed please find:

- [X] A copy of the "Sequence Listing" in computer readable form in  
compliance with 37 C.F.R. §§1.823(b) and 1.824.
- [X] A statement that the content of the paper and computer readable copies  
are the same as set forth in 37 C.F.R. §1.821(f).

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment to Deposit Account No. 02-4800 A duplicate copy of this paper is enclosed.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

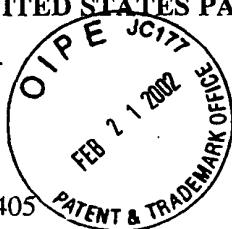
By:   
Malcolm K. McGowan, Ph.D.  
Registration No. 39,300

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: February 21, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Yong-Ling RUAN et al ) Group Art Unit: Unassigned  
Application No.: 10/003,405 ) Examiner: Unassigned  
Filed: December 6, 2001 )  
For: MODIFICATION OF SUCROSE )  
SYNTHASE GENE EXPRESSION IN )  
PLANT TISSUE AND USES )  
THEREFOR )



**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(b) dated January 7, 2002, enclosed please find:

☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the  
surcharge of ☐ \$65.00 (205) ☐ \$130.00 (105) as set forth in 37 C.F.R.

§ 1.16(e);

☐ Note that the inventor(s) identified on the currently filed Combined  
Declaration and Power of Attorney are different from those listed on the  
application filing papers.

☐ a Request for Refund;

☐ a Petition for Extension of Time;

☐ a verified English translation of the Application, and the \$130.00 (139) fee as set  
forth in 37 C.F.R. § 1.17(k);

☒ an Assignment document and a separate check for the \$40.00 (581) Assignment  
recordation fee;

☐ drawings for publication;

☐ other \_\_\_\_\_;

☒ a check in the amount of \$ 130.00 for the fee due for missing parts; and

Transmittal Letter for Missing Parts of Application

Attorney's Docket No. 021565-110

Application No. 10/003,405

Page 2

[ ] charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for missing parts.


[ ] Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By:   
Malcolm K. McGowan, Ph.D.  
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Patent

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

## In re Patent Application of

Yong-Ling RUAN et al

Application No.: 10/003,405

Filed: December 6, 2001

**For: MODIFICATION OF SUCROSE  
SYNTHASE GENE EXPRESSION IN  
PLANT TISSUE AND USES  
THEREFOR**



Group Art Unit: Unassigned

Examiner: Unassigned

**DECLARATION PURSUANT TO**  
**37 C.F.R. §§1.821-1.825**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**I, Malcolm K. McGowan, declare as follows:**

1. That the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. §1.821(c) and (e), respectively, are the same in compliance with §1.821(f).
2. That the submission, filed in accordance with 37 C.F.R. §1.821(g) [or (h)], herein does not include new matter [or go beyond the disclosure in the international application].
3. That the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. §1.825(d), is identical to that originally filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements were made on information and belief and are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

21 February 2001  
Date

Malcolm K. McGowan  
Malcolm K. McGowan, Ph.D.  
Registration No. 39,300



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,405	12/06/2001	Yong-Ling Ruan	021565-110

CONFIRMATION NO. 5391

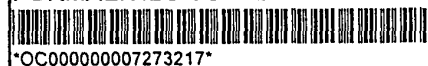
R. Danny Huntington, Esq.  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

BURNS, DOANE, SWECKER &  
MATHIS, L.L.P. RECEIVED

JAN 11 2002

DOCKETED

## FORMALITIES LETTER



\*OC000000007273217\*

Date Mailed: 01/07/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

02/27/2002 MWOLDR1 00000104 10003405

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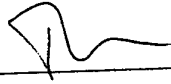
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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

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